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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Wolfgang Barnikol
Serial No. : 08/869,406
Filed : June 5, 1997
For : METHOD FOR THE PREPARATION OF MOLECULARLY
UNIFORM HYPERPOLYMERIC HEMOGLOBINS

ATTENTION : **APPLICATION BRANCH**

Hon. Commissioner of Patents and Trademarks,
Washington, D.C. 20231

SUBMISSION OF SMALL ENTITY FORM

Sir:

Applicant submits herewith a Verified Small Entity
Form for the above-mentioned application.

Please enter the Small Entity Form in the application
file.

Respectfully submitted,

By: David Iren
David Toren
Reg. No. 19,468

Date: October 5, 1998

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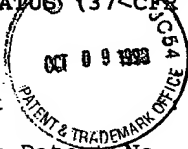
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Date: October 5, 1998

David Iren

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN



Attorney's Docket No. DT-2179

Applicant Wolfgang Barnikol

Serial or Patent No.: _____

Filed or Issued: _____

For: METHOD FOR THE PREPARATION OF MOLECULARLY UNIFORM HYPERPOLYMERIC HEMOGLOBINS

I hereby declare that I am

☐ the owner of the small business concern identified below:

☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: SanquiBioTech AG

ADDRESS OF CONCERN Alfred-Herrhausen-Str. 44

D-85455 Witten an der Ruhr, Germany

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

METHOD FOR THE PREPARATION OF MOLECULARLY UNIFORM HYPERPOLYMERIC HEMOGLOBINS

described in

☒ the specification filed herewith
☐ application Serial No. _____ filed:
☐ Patent No. _____, issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____

ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Prof. Dr. Dr. Wolfgang Barnikel

TITLE OF PERSON SIGNING

Chief Executive

ADDRESS OF PERSON SIGNING

Alfred Henhausen Str. 44 D-58455 W. Hen

SIGNATURE

Wolfgang Barnikel

DATE

28 July 1998